

CUSTOMER NUMBER 25268**UTILITY PATENT APPLICATION AND FEE TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. UNIV0200

Date: January 23, 2004

Inventor(s): **Janet Crossman-Bosworth, Eric J. Seibel, and Mark E. Fauver**Title **OPTICAL BEAM SCANNING SYSTEM FOR COMPACT IMAGE DISPLAY OR IMAGE ACQUISITION**FULL NAME OF 1ST NAMED INVENTOR: **Janet L. Crossman-Bosworth** COUNTRY OF CITIZENSHIP: **US**RESIDENCE ADDRESS OF INVENTOR: **15117 88th Avenue NE, Kenmore, WA 98028**FULL NAME OF 2ND NAMED INVENTOR: **Eric J. Seibel** COUNTRY OF CITIZENSHIP: **US**RESIDENCE ADDRESS OF INVENTOR: **6702 34th Ave. N.W., Seattle, WA 98117**FULL NAME OF 3RD NAMED INVENTOR: **Mark E. Fauver** COUNTRY OF CITIZENSHIP: **US**RESIDENCE ADDRESS OF INVENTOR: **11754 24th Avenue NE, Seattle, WA 98125****APPLICATION ELEMENTS**ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
Alexandria, VA 22313-1450

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal (BELOW)
2. ☒ Specification [Total Pages 36]
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 13] **{FORMAL}**
4. ☒ Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) **[Note Item 5 below]**
 - ii. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Application Data Sheet. See 37 C.F.R. § 1.76
6. ☐ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF) b. ☐ Specification Sequence Listing on: ☐ i. CD-ROM or CD-R (2 copies); or ☐ ii. Paper c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

3. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) _____ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 _____ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: _____
16. **If a CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) _____ of prior application No: /
 Prior application information: Examiner: _____ Group / Art Unit: _____

This application is based on copending provisional application, U.S. Serial No. 60/442,852, filed on January 24, 2003, and priority therein is claimed under 35 U.S.C. § 119(e).

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Correspondence address below:

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600 - 108th Avenue N.E., Suite 507
Bellevue, WA 98004
Telephone: 425-688-8816 Facsimile: 425-646-6314

| FEE TRANSMITTAL | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---------------|---|----------------|--------------------|----------|---|------------|--|--------|---|------------|--|--------|---|---------------|--|--------|---------------------|--|--|---------------|---------------------------------|--|---|----------------|----------------------------------|--|------------------------------------|--|--------------------------------|--|------------------|--|-----------------|--|---------------------------|--|---|--|--|--|--|-----|---|--|--|--|---------------------|--|---------------------|--|---------------|--|
| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <u>01-1940</u> Deposit Account Name: <u>Law Offices of Ronald M. Anderson</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance | | 3. ADDITIONAL FEES (Continued) <table style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td></td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Director</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording each patent assignment per property (times number of properties) THREE ASSIGNMENTS</td><td style="text-align: right;">120</td></tr> <tr><td>Submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>Add'l invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify)</td><td></td></tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (3)</td> <td colspan="2" style="text-align: right;">\$ 120</td> </tr> </tbody> </table> | | Fee Description | Fee Paid | Requesting publication of SIR after Examiner action | | Extension for reply within first month | | Extension for reply within second month | | Extension for reply within third month | | Extension for reply within fourth month | | Extension for reply within fifth month | | Notice of Appeal | | Filing a brief in support of an appeal | | Request for oral hearing | | Petition to institute a public use proceeding | | Petition to revive - unavoidable | | Petition to revive - unintentional | | Utility issue fee (or reissue) | | Design issue fee | | Plant issue fee | | Petitions to the Director | | Petitions related to provisional applications | | Submission of Information Disclosure Statement | | Recording each patent assignment per property (times number of properties) THREE ASSIGNMENTS | 120 | Submission after final rejection (37 C.F.R. 1.129(a)) | | Add'l invention to be examined (37 CFR 1.129(b)) | | Other fee (specify) | | SUBTOTAL (3) | | \$ 120 | |
| Fee Description | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Requesting publication of SIR after Examiner action | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for reply within first month | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for reply within second month | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for reply within third month | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for reply within fourth month | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for reply within fifth month | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Notice of Appeal | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Filing a brief in support of an appeal | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Request for oral hearing | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petition to institute a public use proceeding | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petition to revive - unavoidable | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petition to revive - unintentional | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Utility issue fee (or reissue) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Design issue fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Plant issue fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petitions to the Director | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petitions related to provisional applications | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Submission of Information Disclosure Statement | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Recording each patent assignment per property (times number of properties) THREE ASSIGNMENTS | 120 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Submission after final rejection (37 C.F.R. 1.129(a)) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Add'l invention to be examined (37 CFR 1.129(b)) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other fee (specify) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (3) | | \$ 120 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check (No. <u>7141</u>) <input type="checkbox"/> Money Order <input type="checkbox"/> Other Payment NOT Enclosed | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FEE CALCULATION | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. BASIC FILING FEE <table style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Utility filing fee</td><td style="text-align: right;">\$ 770</td></tr> <tr><td>Design filing fee</td><td></td></tr> <tr><td>Plant filing fee</td><td></td></tr> <tr><td>Reissue filing fee</td><td></td></tr> <tr><td>Provisional filing fee</td><td></td></tr> <tr> <td>SUBTOTAL (1)</td> <td style="text-align: right;">\$ 770</td> </tr> </tbody> </table> | | Fee Description | Fee Paid | Utility filing fee | \$ 770 | Design filing fee | | Plant filing fee | | Reissue filing fee | | Provisional filing fee | | SUBTOTAL (1) | \$ 770 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee Description | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Utility filing fee | \$ 770 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Design filing fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Plant filing fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Reissue filing fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Provisional filing fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (1) | \$ 770 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. EXTRA CLAIM FEES <table style="width: 100%;"> <thead> <tr> <th></th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>48-20 = 28</td> <td>x \$18 =</td> <td style="text-align: right;">\$ 504</td> </tr> <tr> <td>Independent Claims</td> <td>3- 3 = -0-</td> <td>x \$86 =</td> <td style="text-align: right;">\$ -0-</td> </tr> <tr> <td>Multiple Dependent</td> <td>-0-</td> <td>x \$290 =</td> <td style="text-align: right;">\$ -0-</td> </tr> <tr> <td>SUBTOTAL (2)</td> <td></td> <td></td> <td style="text-align: right;">\$ 504</td> </tr> <tr> <td>FEES (SUBTOTALS (1)+(2))</td> <td></td> <td></td> <td style="text-align: right;">\$ 1274</td> </tr> </tbody> </table> | | | Extra Claims | Fee from below | Fee Paid | Total Claims | 48-20 = 28 | x \$18 = | \$ 504 | Independent Claims | 3- 3 = -0- | x \$86 = | \$ -0- | Multiple Dependent | -0- | x \$290 = | \$ -0- | SUBTOTAL (2) | | | \$ 504 | FEES (SUBTOTALS (1)+(2)) | | | \$ 1274 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Extra Claims | Fee from below | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total Claims | 48-20 = 28 | x \$18 = | \$ 504 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Independent Claims | 3- 3 = -0- | x \$86 = | \$ -0- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Multiple Dependent | -0- | x \$290 = | \$ -0- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (2) | | | \$ 504 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FEES (SUBTOTALS (1)+(2)) | | | \$ 1274 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3. ADDITIONAL FEES Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet Non-English specification Request for reexamination Requesting publication of SIR after Examiner action | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED: \$ 1394 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Typed/Printed Name Ronald M. Anderson

Registration Number: 28,829

Signature

Ron Anderson

Date: January 23, 2004

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EV289322367US

Date of Deposit: January 23, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, Virginia 22313-1450.

Kathy L. Paulino

(Name of person mailing paper/fee)

Kathy L. Paulino
(Signature of person mailing paper or fee)